

Geometric Methods of Finsler-Based Field Theory

–Habilitation Thesis–

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Main goals and motivation

Beauty is the first test: there is no permanent place in the world for ugly mathematics (G.H. Hardy)

Main goals:

- ◇ develop a general geometric framework for Lagrangian field theories based on Finsler geometry;
- ◇ explore other applications, in more general field theories, of the newly developed geometric tools.

Motivation of our study: *extending general relativity* so as to address:

- ◇ the dark energy&dark matter problem:
- ◇ tensions with quantum mechanics.

"Who ordered Finsler?"

◇ In physics:

- **most general geometry with a well defined notion of arc length** (\sim *proper time*);
- quantum gravity phenomenology (*modified dispersion relations*)
- description of wave propagation in media
- **kinetic description of gases** (\rightarrow gravitational field generated by multiple sources, moving with different velocities).

◇ In pure mathematics:

- Lorentz-Finsler geometry is: little explored, strikingly different from positive definite one and... beautiful.

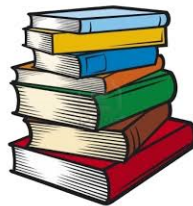
General structure:

Chapter 1: A geometric toolkit for the calculus of variations

Chapter 2: Geometry of Finsler spacetimes

Chapter 3: Finsler-based field theory

Chapter 4: Outlook and perspectives



- 1 A geometric toolkit for
the calculus of variations**

1.1. Preliminaries

Main refs.: Krupka 2015; Giachetta, Mangiarotti&Sardanashvili 2009.

Fibered manifold: a triple (Y, π, X) with:

X, Y - smooth manifolds ($\dim X = n, \dim Y = n + m$)

$\pi : Y \rightarrow X$ - surjective submersion

Fibers: $Y_x = \pi^{-1}(x)$

Fibered charts on Y : $(V, \psi), \psi = (x^A, y^\sigma)$ - such that $\pi : (x^A, y^\sigma) \mapsto (x^A)$

Interpretation in physics:

Y - *configuration space*, X - *parameter space* (usually - spacetime)

Local sections $\gamma \in \Gamma(Y), \gamma : (x^i) \mapsto (x^A, y^\sigma(x^A))$ - **fields**

Arena for field theory: the jet bundles $(J^r Y, \pi^r, X)$.

Lagrangian of order $r :=$ a (π^r) -horizontal form $\lambda \in \Omega_n(J^r Y) :$

$$\lambda = \mathcal{L} d^n x,$$

with: $\mathcal{L} = \mathcal{L}(x^A, y^\sigma, y^\sigma_i, \dots, y^\sigma_{i_1 \dots i_n}), \quad d^n x := dx^1 \wedge \dots \wedge dx^n.$

Action: $S_D : \Gamma(Y) \rightarrow \mathbb{R}:$

$$S_D(\gamma) = \int_D J^r \gamma^* \lambda,$$

where $D \subset X$ - **piece** (=compact n -dim. submanifold with boundary).

Variations of S_D - from 1-parameter groups $\{\Phi_\varepsilon\}$ of **fibred automorphisms**

$$\begin{array}{ccc} Y & \xrightarrow{\Phi_\varepsilon} & Y \\ \pi \downarrow & & \downarrow \pi \\ X & \xrightarrow{\varphi_\varepsilon} & X \end{array} \Rightarrow \Phi_\varepsilon : \begin{cases} \tilde{x}^i = \tilde{x}^i(x^j) \\ \tilde{y}^\sigma = \tilde{y}^\sigma(x^j, y^\mu) \end{cases}$$

Variations as Lie derivatives: $\Xi \in \mathcal{X}(Y)$ - generator of $\{\Phi_\varepsilon\} \Rightarrow$

$$\delta S_D(\gamma) = \int_D J^r \gamma^* \mathcal{L}_{J^r \Xi} \lambda$$

First variation formula:

$$J^r \gamma^* (\mathcal{L}_{J^r \Xi} \lambda) = J^{2r} \gamma^* \mathbf{i}_{J^{2r} \Xi} \mathcal{E}(\lambda) - J^{2r-1} \gamma^* d\mathcal{J}^\Xi \quad (1)$$

◇ $\mathcal{E}(\lambda) \in \Omega_{n+1}(J^{2r} Y)$ - **Euler-Lagrange form:**

$$\mathcal{E}(\lambda) = \frac{\delta \mathcal{L}}{\delta y^\sigma} \theta^\sigma \wedge d^n x, \quad \theta^\sigma := dy^\sigma - y^\sigma_i dx^i$$

◇ $\mathcal{J}^\Xi \in \Omega_{n-1}(J^{2r-1} Y)$ - **Noether current**

◇ $\gamma \in \Gamma(Y)$ is an **extremal** of S if: $\forall D \subset X$ piece, \forall compactly supported variation $supp(\Xi \circ \gamma) \subset D$:

$$\delta S_D(\gamma) = 0$$

In coords.: γ - extremal \Leftrightarrow Euler-Lagrange equations:

$$\frac{\delta \mathcal{L}}{\delta y^\sigma} \circ J^{2r} \gamma = 0$$

Noether's first theorem:

$$\mathfrak{L}_{J^r \Xi} \lambda = 0 \Rightarrow J^s \gamma^* d\mathcal{J}^\Xi \approx 0$$

(\approx - equality *along critical sections* γ).

Identification of $\mathcal{E}(\lambda)$, \mathcal{J}^Ξ :

- integration by parts \rightarrow coordinates needed!
- via *Lepage forms* (Krupka, 1973) \rightarrow coordinate-free, diff. forms only (see Sec. 1.4).

Natural bundles and natural (generally covariant) Lagrangians:

\mathcal{M}_n - category of smooth n -dim manifolds, \mathcal{FB} - category of smooth fiber bundles.

Natural bundle functor := a functor $\mathfrak{F} : \mathcal{M}_n \rightarrow \mathcal{FB}$, such that:

- $\forall M \in Ob(\mathcal{M}_n) : \mathfrak{F}(M)$ is a fiber bundle over M ;
- $\forall \alpha_0 : M \rightarrow M' \in Morf(\mathcal{M}_n) \Rightarrow$ the fibered manifold morphism $\mathfrak{F}(\alpha_0) : \mathfrak{F}(M) \rightarrow \mathfrak{F}(M')$ covers α_0 .

Natural (generally covariant) Lagrangians = globally def. Lagrangians $\lambda \in \Omega_n(J^r \mathfrak{F}(M))$ s.th:

$$J^r \mathfrak{F}(\phi)^* \lambda = \lambda, \quad \forall \phi \in Diff(M)$$

In terms of infinitesimal generators:

$$\mathfrak{L}_{J^r \mathfrak{F}(\xi)} \lambda = 0, \quad \forall \xi \in \mathcal{X}(M) \tag{2}$$

1.2. Variational completion of differential equations

References:

1. N. Voicu, D. Krupka, *Canonical variational completion of differential equations*, Journal of Mathematical Physics 56, 043507 (2015).
2. N. Voicu: *Source Forms and Their Variational Completions*, in vol. The Inverse Problem of the Calculus of Variations - Local and Global Theory, ed. Dmitri Zenkov, Atlantis Press-Springer (2015).
3. M. Hohmann, C. Pfeifer, N. Voicu, *Canonical variational completion and 4D Gauss–Bonnet gravity*, European Physical Journal Plus 136, 180 (2021).

Aim: Given an arbitrary PDE/ODE system:

- find out whether it is locally variational;
- if not, transform it into a locally variational one, by *adding a meaningful correction term*.

Motivation:

◇ Historically first variant of Einstein field eqs.:

$$R_{ij} = 8\pi\kappa T_{ij} \quad (3)$$

→ inconsistent with local energy-momentum conservation.

◇ Corrected version:

$$R_{ij} - \frac{1}{2}Rg_{ij} = 8\pi\kappa T_{ij} \quad (4)$$

→ *variational*, with Lagrangian function = "simplest scalar" R .

Q: *Is there any systematic way of finding the "correction term", based on calculus of variations?*

Setting: (Y, π, X) - fibered manifold, $\dim X = n$.

Consider an arbitrary *PDE system of order r* over Y :

$$\varepsilon_\sigma(x^A, y^\sigma, \dots, y_{A_1 \dots A_r}^\sigma) = 0$$

→ a local *source form*:

$$\varepsilon := \varepsilon_\sigma \theta^\sigma \wedge d^n x \in \Omega_{n+1}(J^r Y). \quad (5)$$

Use: **Vainberg-Tonti Lagrangian** (Vainberg 1956, Tonti 1969):

$$\lambda_\varepsilon = \mathcal{L}_\varepsilon d^n x$$

attached to ε and to a given chart:

$$\mathcal{L}_\varepsilon(x^A, y^\sigma, \dots, y_{j_1 \dots j_r}^\sigma) := y^\sigma \int_0^1 \varepsilon_\sigma(x^A, u y^\sigma, \dots, u y_{j_1 \dots j_r}^\sigma) du. \quad (6)$$

Key property: Euler-Lagrange form $\mathcal{E}(\lambda_\varepsilon) = \mathcal{E}_\nu \theta^\nu \wedge d^n x$ of λ_ε :

$$\mathcal{E}_\nu = \varepsilon_\nu - \underbrace{\int_0^1 u \{ y^\sigma (H_{\nu\sigma} \circ \chi_u) + \dots + y^\sigma_{B_1 \dots B_r} (H_{\nu\sigma}^{B_1 \dots B_r} \circ \chi_u) \} du}_{\kappa_\nu},$$

where:

- $\chi_u : (x^A, y^\sigma, y^\sigma_j, \dots, y^\sigma_{j_1 \dots j_r}) \mapsto (x^A, uy^\sigma, uy^\sigma_j, \dots, uy^\sigma_{j_1 \dots j_r})$, $u \in [0, 1]$.
- H - **Helmholtz form** of ε - "obstructions from local variationality" of ε .

Definition 7, [1]: Canonical variational completion of ε :

$$\mathcal{E}(\lambda_\varepsilon) = \varepsilon + \kappa \tag{7}$$

$\Rightarrow \kappa = \kappa_\nu \theta^\nu \wedge d^n x \in \Omega_{n+1}(J^r Y)$ - completely expressed in terms of H .

Applications of canonical variational completion:

- ✓ Vacuum Einstein equations $R_{ij} - \frac{1}{2}Rg_{ij} = 0$ - c.v.c. of $R_{ij} = 0$, [1].
- ✓ Energy-momentum tensors in general relativity (symmetrization [1], Lagrangian for perfect fluid [2]).
- ✓ Linearly damped oscillations, [1].
- ✓ "Renormalized" (truncated) Gauss-Bonnet gravity theory - shown to be non-variational, [3].
- ✓ **Finsler gravity** - see Chapter 3.

1.3. Energy-momentum tensor and energy-momentum balance

Ref.: [1]. N. Voicu, *Energy-momentum tensors in classical field theories – a modern perspective*, International Journal of Geometric Methods in Modern Physics, 13, 1640001 (2016).

Ideas:

1. Use a "Hilbert-type" definition of energy-momentum tensors, in general Lagrangian field theories (\sim Gotay&Marsden 1992, Fernandez&co. 2000) ;
2. Find a general *energy-momentum balance law*, valid in any natural field theory of index 1 in the background variables.
3. Application: energy-momentum balance law in *general metric-tensor/metric-affine theories*.

Setting:

◇ Configuration manifold:

$$Y = Y^{(b)} \times_M Y^{(m)},$$

where $Y^{(b)}$, $Y^{(m)}$ - natural bundles over M (b - "background", m - "matter").

◇ A generally covariant Lagrangian:

$$\lambda = \lambda_b + \lambda_m \in \Omega_n(J^r Y)$$

◇ **Assumption:** Natural lift $l^b : \mathcal{X}(M) \rightarrow \mathcal{X}(Y^{(b)})$, $\xi \mapsto \Xi^{(b)}$ - of order 1:

$$\Xi^{(b)} = \xi^i \partial_i + (C_i^{\sigma j} \xi^i + C_i^{\sigma j} \xi^i_{,j}) \frac{\partial}{\partial y^\sigma}.$$

Euler-Lagrange form of λ_m :

$$\mathcal{E}(\lambda_m) = \mathcal{E}^{(b)} + \mathcal{E}^{(m)}.$$

Lemma 8, [1]: There is a unique splitting:

$$h\mathbf{i}_{J^{s+1}\Xi}\mathcal{E}^{(b)} = \mathcal{B}(\xi) + hd(\mathcal{T}(\xi)), \quad \forall \xi \in \mathcal{X}(M), \quad (8)$$

such that $\mathcal{T} : \mathcal{X}(M) \rightarrow \Omega_{n-1}(J^{s+1}Y)$, $\mathcal{B} : \mathcal{X}(M) \rightarrow \Omega_n(J^{s+2}Y)$ are $\mathcal{F}(M)$ -linear mappings with horizontal values ($h : \Omega(J^{s+1}Y) \rightarrow \Omega(J^{s+2}Y)$ - *horizontalization* morphism).

◇ \mathcal{T} - **energy-momentum tensor**, \mathcal{B} - **balance function**.

In fibered coords (x^i, y^σ, y^I) on Y :

$$\mathcal{T} = \mathcal{T}_i^j dx^i \otimes \mathbf{i}_{\partial_j} d^n x, \quad \mathcal{T}_i^j = C_i^{\sigma j} \frac{\delta \mathcal{L}_m}{\delta y^\sigma}. \quad (9)$$

First variation formula revisited:

$$\int_D J^{s+2} \gamma^* \mathcal{B}(\xi) + \int_{\partial D} J^{s+1} \gamma^* (\mathcal{T}(\xi) - \mathcal{J}^{\Xi}) \approx_{\gamma^{(m)}} 0, \quad (\gamma^{(m)} := \text{proj}_{Y^{(m)}} \circ \gamma).$$

Theorem 10, [1] (Coordinate-free energy-momentum balance law): For any piece $D \subset M$ and any $\xi \in \mathcal{X}(M)$ with support contained in D , there holds:

$$\int_D J^{s+2} \gamma^* \mathcal{B}(\xi) \approx_{\gamma(m)} 0. \quad (10)$$

Theorem 11, [1]:

(i): **Energy-momentum balance law** in coordinates:

$$\left(d_j \mathcal{T}_i^j - (C_i^\sigma - y_i^\sigma) \frac{\delta \mathcal{L}}{\delta y^\sigma} \right) \circ J^{s+2} \gamma \approx_{\gamma(m)} 0.$$

(ii) **Relation with Noether currents:**

$$\int_{\partial D} J^{s+1} \gamma^* \mathcal{T}(\xi) \approx_{\gamma(m)} \int_{\partial D} J^{s+1} \gamma^* \mathcal{J}^l(\xi).$$

Example. General metric-tensor theories:

$$Y^{(b)} = \text{Met}(M) \times_M T_q^p(M), \quad \lambda_m = \mathbb{L}_m \sqrt{|\det g|} d^n x.$$

Denote: $y^\sigma \in \{g^{ij}, y_{j_1 \dots j_q}^{i_1 \dots i_p}\}$ - background variables and

$$\mathfrak{Z}_\sigma = \frac{1}{\sqrt{|\det g|}} \frac{\delta \mathcal{L}_m}{\delta y^\sigma}, \quad T^j_i = \frac{1}{\sqrt{|\det g|}} T^j_i = C^{\sigma j}_i \mathfrak{Z}_\sigma. \quad (11)$$

Energy-momentum balance law:

$$(y^\sigma_{;i} \mathfrak{Z}_\sigma + T^j_{i;j}) \circ J^{s+2} \gamma \approx_{\gamma^{(m)}} \mathbf{0}, \quad i = 1, \dots, n. \quad (12)$$

In particular, in **metric-affine theories**: $y^\sigma \in \{g^{ij}, N^i_{jk} := K^i_{jk} - \Gamma^i_{jk}\}$:

$$(T^j_{i;j} + N^j_{kh;i} \frac{\delta \mathbb{L}_m}{\delta N^j_{kh}}) \circ J^{s+2} \gamma \approx_{\gamma^{(m)}} \mathbf{0}. \quad (13)$$

1.4. A special property of Lepage equivalents of Lagrangians

[1]. N. Voicu, S. Garoiu, B. Vasian, *On the closure property of Lepage equivalents of Lagrangians*, Differential Geometry and its Applications 81, 101852 (2022).

Main idea: For general Lagrangians $\lambda \in \Omega_n(J^r Y)$ of order $r \geq 1$, build *two* local Lepage equivalents with the **closure property**:

$$\mathcal{E}(\lambda) = 0 \iff d\rho_\lambda = 0.$$

Application: Having a well defined Lepage formulation of *Hamiltonian* field theory.

(Only) previously known examples of ρ_λ with closure property:

- ✓ mechanics ($\dim X = 1$) - Poincaré-Cartan form;
- ✓ *first order* Lagrangians (Krupka 1977, Betounes 1984).

Setting: (Y, π, X) - fibered manifold, $\lambda \in \Omega_n(J^r Y)$ - Lagrangian

Definition (Krupka, 1973): $\rho_\lambda \in \Omega_n(J^s Y)$ - **Lepage equivalent** of λ , if:

(i) $\int_D J^r \gamma^* \lambda = \int_D J^r \gamma^* \rho_\lambda$, for all γ, D .

(ii) The first contact comp. $p_1 d\rho_\lambda$ is a source form ($\Leftrightarrow \pi^{s+1,0}$ -horizontal).

Euler-Lagrange form/Noether currents in terms of ρ_λ :

$$\mathcal{E}(\lambda) = p_1 d\rho_\lambda, \quad \mathcal{J}^\Xi = \mathbf{i}_{J^s \Xi} \rho_\lambda.$$

Principal Lepage equivalent $\rho_\lambda =: \Theta_\lambda$ (Krupka, 1981) - **no** closure property:

$$\Theta_\lambda = \mathcal{L} d^n x + \left(\sum_{k=0}^{r-1} f_\sigma^{AB_1 \dots B_k} \theta_{B_1 \dots B_k}^\sigma \right) \wedge \mathbf{i}_{\partial_A} d^n x, \quad (14)$$

$$f^{B_1 \dots B_{r+1}} = 0, \quad f_\sigma^{B_1 \dots B_k} = \frac{\partial \mathcal{L}}{\partial y_{B_1 \dots B_k}^\sigma} - d_A f_\sigma^{AB_1 \dots B_k}. \quad (15)$$

★ **Our idea, [1]:** Use $\Theta_{\lambda'}$, for a conveniently chosen λ' equivalent to λ .

Consider $\lambda \in \Omega_n(J^r Y)$ - arbitrary Lagrangian.

I. Canonical Lepage equivalent Φ_λ : Decompose λ locally as:

$$\lambda = \lambda_{VT} + h d\alpha, \quad (16)$$

where λ_{VT} - Vainberg-Tonti Lagrangian of $\mathcal{E}(\lambda)$ and set:

$$\Phi_\lambda := \Theta_{\lambda_{VT}} + d\alpha. \quad (17)$$

Properties of canonical Lepage equivalent:

1. Closure property $\mathcal{E}(\lambda) = 0 \Leftrightarrow d\Phi_\lambda = 0$.
2. Φ_λ - uniquely defined by λ .
3. Generally Φ_λ - just locally defined. Yet, in *tensor* field theories with second order Euler-Lagrange equations, Φ_λ - globally well defined.

II. Minimal Lepage equivalent ϕ_λ : If λ - order-reducible, then use:

$$\lambda = \lambda' + h d\alpha, \quad \phi_\lambda := \Theta_{\lambda'} + d\alpha, \quad (18)$$

(where λ' - of minimal order).

Properties of minimal Lepage equivalents:

1. Closure property.
2. If λ - second order, reducible $\Rightarrow \phi_\lambda$ - of order 1.
3. In general, ϕ_λ -not unique.

Example: Hilbert Lagrangian $\lambda \in \Omega_4(J^2 \text{Met}(M))$, $\lambda = R\sqrt{|\det g|}d^4x$:

$$\Phi_{\lambda_g} = \Theta_{\lambda_g} = \phi_{\lambda_g}. \quad (19)$$

2 Geometry of Finsler spacetimes

2.1. Definitions and basic geometric objects

[1]. M. Hohmann, C. Pfeifer, N. Voicu, *Mathematical foundations for field theories on Finsler spacetimes*, Journal of Mathematical Physics 63, 032503 (2022).

[2] M. Hohmann, C. Pfeifer, N. Voicu, *Finsler gravity action from variational completion*, Physical Review D 100, 064035 (2019).

Aim of the section: Present the notion of **Finsler spacetime** as defined in [1] and a minimal list of related notions, to be used in the sequel.

Setting: M - n -dim. connected, orientable, C^∞ -smooth manifold

◇ $T\overset{\circ}{M} := TM \setminus \{0\}$ slit tangent bundle.

◇ An open subset $\mathcal{Q} \subset TM \setminus \{0\}$ is a **conic subbundle** if:

- for $\forall x \in M$, $\mathcal{Q}_x := \mathcal{Q} \cap T_x M$ is non-empty;
- *conic property*: $(x, \dot{x}) \in \mathcal{Q} \Rightarrow (x, \alpha \dot{x}) \in \mathcal{Q}$, $\forall \alpha > 0$.

◇ (Bejancu&Farran, 1990): **Pseudo-Finsler space** = (M, L) , where:

$L : \mathcal{A} \rightarrow \mathbb{R}$ - smooth on a conic subbundle $\mathcal{A} \subset TM$ and:

(i) $L(x, \alpha \dot{x}) = \alpha^2 L(x, \dot{x})$, $\forall \alpha > 0$;

(ii) $g_{\mu\nu}(x, \dot{x}) = \frac{1}{2} \frac{\partial^2 L}{\partial \dot{x}^\mu \partial \dot{x}^\nu}$ is nondegenerate on \mathcal{A} .

\mathcal{A} - set of *admissible vectors*.

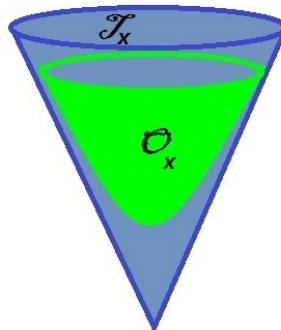
Definition 25, [1] A 4-dim. pseudo-Finsler space is a **Finsler spacetime** if:

\exists a conic subbundle $\mathcal{T} \subset \mathcal{A}$, with connected fibers \mathcal{T}_x on which:

- ✓ $L > 0$, g has Lorentzian signature $(+, -, -, -)$
- ✓ L can be continuously extended as 0 to $\partial\mathcal{T}$.

Physical interpretations:

- *Interval*: $ds^2 = L(x, dx) = g_{ij}(x, \dot{x})dx^i dx^j$
- $\mathcal{T}_x :=$ **future-pointing timelike cone** at x .
- **Observer space** at $x \in M$: $\mathcal{O} := \{(x, \dot{x}) \in \mathcal{T} \mid L(x, \dot{x}) = 1\}$:



○ *Finslerian metric tensor*:

$$g : \mathcal{A} \rightarrow T_2^0 M, (x, \dot{x}) \mapsto g_{(x, \dot{x})} = g_{ij}(x, \dot{x}) dx^i dx^j$$

○ **Hilbert form** on $\mathcal{A}_0 := \mathcal{A} \setminus L^{-1}(0)$:

$$\omega := F_{.i}(x, \dot{x}) dx^i, F = |L|^{1/2}$$

○ *Arc length* of a non-null admissible curve $c : [a, b] \rightarrow M$ (\sim proper time):

$$l(c) = \int_a^b \sqrt{L(c(t), \dot{c}(t))} dt = \int_a^b \sqrt{g_{ij}(x, \dot{x}) dx^i dx^j} dt = \int_{\text{Im}(c, \dot{c})} \omega, \quad (20)$$

(!) The positive **2-homogeneity** of L ensures that $l(c)$ - well-defined.

Geodesics of $(M, L) : \ddot{x}^i(s) + 2G^i(x(s), \dot{x}(s)) = 0$

Canonical nonlinear connection $T\mathcal{A} = H\mathcal{A} \oplus V\mathcal{A} \rightarrow$ coeffs: $G^i_j = \dot{\partial}_j G^i$.

Local adapted basis of $T\mathcal{A}$: $\{\delta_i = \partial_i - G^j_i \dot{\partial}_j, \dot{\partial}_i := \partial_{\dot{x}^i}\}$.

Examples of Finsler spacetime functions L :

✓ **Lorentzian** (quadratic in \dot{x}):

$$L(x, \dot{x}) = a_{\mu\nu}(x) \dot{x}^\mu \dot{x}^\nu$$

✓ **Randers** $L = \epsilon F^2$, with $\epsilon = \text{sign}(F)$, where:

$$F(x, \dot{x}) = \sqrt{|a_x(\dot{x}, \dot{x})| + b_x(\dot{x})}.$$

✓ **Bogoslovsky/Kropina** (*VSR, VGR - Cohen&Glashow*):

$$L(x, \dot{x}) = \epsilon |a_{\mu\nu}(x) \dot{x}^\mu \dot{x}^\nu|^{1-q} (b_\rho(x) \dot{x}^\rho)^{2q},$$

where: $\epsilon = \text{sign}(a_{\mu\nu}(x) \dot{x}^\mu \dot{x}^\nu)$.

✓ **Quartic metrics** (\rightarrow *birefringence - Pfeifer&Wohlfarth, Perlick etc.*):

$$L(x, \dot{x}) = \epsilon \sqrt{|(a_{\mu\nu}(x) \dot{x}^\mu \dot{x}^\nu) (h_{\rho\sigma}(x) \dot{x}^\rho \dot{x}^\sigma)|},$$

where $\epsilon = \text{sign}(\dots)$.

Homogeneity of Finslerian geometric objects

◇ L - homog. of degree 2 $\Rightarrow g, G^i_j$ etc. \rightarrow all homogeneous of some degree.

Fiber homotheties:

$$\chi_\alpha : T\overset{\circ}{M} \rightarrow T\overset{\circ}{M}, \quad \chi_\alpha(x, \dot{x}) = (x, \alpha\dot{x}) \quad (\alpha > 0)$$

- generated by the *Liouville vector field*

$$\mathbb{C} = \dot{x}^i \partial_i. \quad (21)$$

Definition 30, [1]: A tensor field $T \in \Gamma(T^p_q(\mathcal{A}))$ is k -**homogeneous**, if:

$$\forall \alpha > 0 : \quad \chi_\alpha^* T = \alpha^k T.$$

◇ *Anisotropic tensor fields* $\mathbb{T} : \mathcal{A} \rightarrow T^p_q(M) \rightsquigarrow$ d-tensor fields $T \in \Gamma(T^p_q(\mathcal{A}))$ (for which k -homog. is defined).

2.2. The positively projectivized tangent bundle PTM^+ (The projective sphere bundle)

1. On arbitrary manifolds M , $\dim M = n$. Define:

$$PTM^+ := T\overset{\circ}{M} / \sim \quad (22)$$

where: $(x, \dot{x}) \sim (x, u) \Leftrightarrow \exists \alpha > 0 : u = \alpha \dot{x}$.

○ PTM^+ - smooth, *orientable* $(2n - 1)$ -dim. manifold, *natural bundle* over M , with *fibers* $\simeq \mathbb{S}^{n-1}$.

○ $(T\overset{\circ}{M}, \pi^+, PTM^+, \mathbb{R}_+^*)$ - principal bundle, with projection:

$$\pi^+ : T\overset{\circ}{M} \rightarrow PTM^+, (x, \dot{x}) \mapsto [(x, \dot{x})]. \quad (23)$$

○ **0-homogeneous objects on $T\overset{\circ}{M} \Leftrightarrow$ geom. objects on PTM^+ :**

○ *Homogeneous local coords* of $[(x, \dot{x})]$: (x^i, \dot{x}^i) (unique up to a factor)
(see Chern-Chen-Lam 1999).

2. **On Finsler spacetimes** (M, L) : The set of *non-null admissible directions*:

$$\mathcal{A}_0^+ = \left\{ [(x, \dot{x})] \in \pi^+(\mathcal{A}) \mid L(x, \dot{x}) \neq 0 \right\}$$

has a **contact structure** - the **Hilbert form** $\omega^+ = \dot{\partial}_i F dx^i$.

○ *Canonical volume form*: $d\Sigma^+ := \frac{\epsilon}{3!} \omega^+ \wedge (d\omega^+)^3$ ($\epsilon := \text{sign}(\det g)$).

○ *Reeb vector field on \mathcal{A}_0^+* : $\ell^+ = l^i \delta_i$, $l^i = \frac{\dot{x}^i}{F}$.

Proposition 38, [1] (Set of future pointing timelike directions \mathcal{T}^+):

Define $\mathcal{T}^+ := \pi^+(\mathcal{T}) \subset \mathcal{A}_0^+$. Then:

1. $\pi^+ : \mathcal{O} \rightarrow \mathcal{T}^+$ is a diffeomorphism.

2. If $\rho^+ \in \Omega_7(\mathcal{T}^+)$ - compactly supported and $\rho := (\pi^+)^* \rho^+$, then:

$$\int_{\mathcal{T}^+} \rho^+ = \int_{\mathcal{O}} \rho. \quad (24)$$

2.3. Finsler spacetimes, Finsler spaces, Lorentzian manifolds: a brief comparison

References:

- [1] N. Voicu, *Conformal maps between pseudo-Finsler spaces*, International Journal of Geometric Methods in Modern Physics 15(01), 1850003 (2018).
- [2] A. Fuster, S. Heefer, C. Pfeifer, N. Voicu, *On the non metrizable of Berwald Finsler spacetimes*, Universe 6 (5), 64 (2020).

Main aim: Show that:

1. Finsler spacetimes may *strikingly* differ from positive definite Finsler spaces
2. Yet: Finsler spacetimes share with Lorentzian ones some essential features (→ OK for physics!)

Focus on: *projective* and *conformal* structures.

On the non-metrizability of Berwald-Finsler spacetimes, [2]:

(M, L) is called of **Berwald** type if G^i - quadratic in \dot{x} :

$$G^i = G^i_{jk}(x)\dot{x}^j\dot{x}^k$$

$\Leftrightarrow G^i_{jk}$ define a symmetric affine connection on M , whose autoparallels are geodesics of (M, L) .

Theorem (Szábó,'s Metrizability Theorem, 1981): Let (M, F) be a (positive definite, $T\overset{\circ}{M}$ -smooth) Finsler space of Berwald type. Then, there exists a Riemannian metric a on M such that the affine connection of the Berwald space is the Levi-Civita connection of a .

Consequence: Parametrized geodesics of $(M, F) =$ same as those of (M, a) .

Results in [2]:

Necessary condition for pseudo-Riemann metrizable: horizontal Chern-Rund Ricci tensor components $R_{ij} := R_i^k{}_{jk}$ must be symmetric:

$$R_{ij} = R_{ji}.$$

Example: Berwald spacetime function on \mathbb{R}^4 with $R_{ij} \neq R_{ji}$:

$$L(x, \dot{x}) = a_x(\dot{x}, \dot{x})s^{-p}(k + m s)^{p+1},$$

$$a = 2dx^0 \otimes dx^1 + x^1 \phi(x^2, x^3) dx^0 \otimes dx^0 + dx^2 \otimes dx^2 + dx^3 \otimes dx^3, \quad b = dx^0,$$

where: $s := \frac{(b_x(\dot{x}))^2}{a_x(\dot{x}, \dot{x})} \Rightarrow L$ - non-Lorentz metrizable.

Theorem 42: If (M, L) is Berwald with $\mathcal{A} = T\overset{\circ}{M}$, then:

$$R_{ij} = R_{ji}.$$

Conformal symmetries of a pseudo-Finsler space (M, L) , [1].

$\phi \in Diff(M)$ - *conformal symmetry* if $\exists \sigma : M \rightarrow \mathbb{R}$ - smooth, s. th.

$$L \circ d\phi = e^\sigma L. \quad (25)$$

Particular case: $\sigma = 0 \Rightarrow \phi$ - *isometry* of (M, L) .

Remark: \nexists Liouville-type classification of conformal symmetries of (flat) pseudo-Finsler spaces. Examples, [1]:

$$M := \mathbb{R}^k \times \mathbb{R}^{n-k}, \quad L := L_1^\alpha L_2^{1-\alpha}, \quad (26)$$

with $L_1 = \left| \dot{x}^1 \dot{x}^2 \dots \dot{x}^k \right|^{2/k}$, L_2 - arbitrary \rightarrow infinite-dim. conformal group.

Theorem 44, [1] (Pseudo-Finslerian extension of Weyl Theorem): If a conformal symmetry of a connected pseudo-Finsler space (M, L) preserves unparametrized geodesics of (M, L) , then $\sigma = const.$

Other results in Lorentzian geometry which extend to Lorentz-Finsler:

Conformal/Killing vector fields for (M, L) = generators of conf. symmetries/isometries of L .

Proposition 47, [1]: Any *essential* (= non-Killing, for any $e^\sigma L$) conformal vector field must be lightlike, i.e., $L \circ \xi = 0$, at least at a point.
(*pseudo-Riemannian case - see Kuhnel 2008*).

Theorem 48, [1]: Assume a Lorentz-Finsler space (M, L) admits a Killing vector field ξ with the property that $L(x, \xi(x)) \geq 0, \forall x \in M$. If $\xi = 0$ at one point $x \in M$, then ξ vanishes identically.
(*pseudo-Riemannian case - Sanchez, 1997*)

Theorem 49, [1]: If ξ is a Killing vector field for a Lorentz-Finsler space (M, L) , having an isolated zero at some point $x \in M$, then: $\dim M$ - even and $L \circ \xi$ takes all possible signs on each neighborhood of x .
(*pseudo-Riemannian case - Sanchez, 1997*).

2.4. Inequalities from Finsler and Lorentz-Finsler norms

Reference:

[1] N. Minculete, C. Pfeifer, N. Voicu, *Inequalities from Lorentz-Finsler norms*, *Mathematical Inequalities and Applications* 24(2), 373–398 (2021).

Main idea:

Finsler geometry is actually behind many notorious inequalities. Such as the arithmetic-geometric mean one...

Consider: (M, L) - pseudo-Finsler space, $x \in M$.

$\mathcal{T} \subset T_x M \simeq \mathbb{R}^{n+1}$ - open, connected conic subset on which $L > 0$.

Pseudo-Finsler norm: $F = \sqrt{L} : \mathcal{T} \rightarrow (0, \infty)$.

Cauchy-Schwarz and reverse Cauchy-Schwarz inequalities

(*Bao-Chern-Shen, 2000/Minguzzi 2015, Aazami&Javaloyes 2016*):

I. L - positive definite \Rightarrow **Cauchy-Schwarz (fundamental) inequality**:

$$dF_v(w) \leq F(w) \quad \Leftrightarrow \quad g_v(v, w) \leq F(v)F(w).$$

II. L - Lorentzian \Rightarrow **reverse Cauchy-Schwarz inequality**:

$$dF_v(w) \geq F(w) \quad \Leftrightarrow \quad g_v(v, w) \geq F(v)F(w).$$

Remark, [1]: Ineqs. still hold in the pos. semidef./degenerate-Lorentzian case.

Examples of reverse Cauchy-Schwarz inequalities, [1]:

1) **Aczél's inequality:** $a^i, b^i > 0 \Rightarrow$

$$(a^0b^0 - a^1b^1 - \dots - a^nb^n)^2 \geq [(a^0)^2 - (a^1)^2 \dots - (a^n)^2][(b^0)^2 - (b^1)^2 \dots - (b^n)^2].$$

2) **Popoviciu's inequality.** If $p > 1$, $\frac{1}{p} + \frac{1}{q} = 1$, $a^i, b^i > 0 \Rightarrow$

$$a^0b^0 - a^1b^1 - \dots - a^nb^n \geq [(a^0)^q - (a^1)^q - \dots - (a^n)^q]^{\frac{1}{q}} [(b^0)^p - (b^1)^p - \dots - (b^n)^p]^{\frac{1}{p}}.$$

3) **Arithmetic-geometric mean inequality:**

$$\frac{\alpha_0 + \dots + \alpha_n}{n + 1} \geq (\alpha_0\alpha_1\dots\alpha_n)^{\frac{1}{n+1}}, \quad \forall \alpha_i \in \mathbb{R}_+^*. \quad (27)$$

4) **Weighted arithmetic-geometric mean inequality:**

$$\sum_{i=0}^n a_i v^i \geq (v^0)^{a_0} (v^1)^{a_1} \dots (v^n)^{a_n}, \quad a_i \geq 0, v^i > 0. \quad (28)$$

Example of (positive definite) CS inequality - Hölder inequality:

$$a^0 b^0 + a^1 b^1 + \dots + a^n b^n \leq [(a^0)^q + \dots + (a^n)^q]^{\frac{1}{q}} [(b^0)^p + \dots + (b^n)^p]^{\frac{1}{p}},$$

Playing to discover new inequalities:

1) Use a **Kropina** deformation of Miknowski metric $\eta \Rightarrow$

$$2\eta(v, w) \geq \frac{w^0}{v^0} \eta(v, v) + \frac{v^0}{w^0} \eta(w, w).$$

2) **A Finslerian extension of Aczél's inequality:**

$$[\rho(v)\rho(w) - \hat{g}_v(v, w)]^2 \geq [\rho^2(v) - \hat{F}^2(v)][\rho^2(w) - \hat{F}^2(w)],$$

where: \hat{F} - pos. def. Finsler, $\rho \in \Omega_1(\mathbb{R}^{n+1})$.

See [1] for more examples (*triangle/reverse triangle ineqs.*)...

3 Finsler-based field theory

3.1. The general framework

Ref.: [1]. M. Hohmann, C. Pfeifer, N. Voicu, *Mathematical foundations for field theories on Finsler spacetimes*, Journal of Mathematical Physics 63, 032503 (2022).

Main results:

1. Construct general configuration bundles (Y, Π, X) , allowing:

- k -homogeneous Finslerian geometric objects as sections;
 - well defined fibered automorphisms;
 - compactly supported variations;
- \Rightarrow best option: $X := PTM^+$.

2. Analyze the common features of (Y, Π, PTM^+) and of Lagrangians built upon them.

Structure of fibered manifolds over PTM^+

Consider: (M, L) - Finsler spacetime, (Y, Π, PTM^+) - fibered manifold \Rightarrow

$$Y \xrightarrow{\Pi} PTM^+ \xrightarrow{\pi_M} M. \quad (29)$$

Fibered automorphisms of (Y, Π, PTM^+) :

$$\begin{array}{ccc}
 Y & \xrightarrow{\Phi} & Y \\
 \Pi \downarrow & & \downarrow \Pi \\
 PTM^+ & \xrightarrow{\phi} & PTM^+ \\
 \pi_M \downarrow & & \downarrow \pi_M \\
 M & \xrightarrow{\phi_0} & M
 \end{array}$$

Bundles having k -homogeneous Finslerian geometric objects as sections:

A k -homogeneous (Finslerian) geometric object = a local section:

$$\overset{\circ}{\gamma} : \mathcal{Q} \rightarrow \overset{\circ}{Y}, \quad (x, \dot{x}) \mapsto (x, \dot{x}, y(x, \dot{x})),$$

of some fiber bundle $(\overset{\circ}{Y}, \overset{\circ}{\Pi}, T\overset{\circ}{M}, Z)$ obeying:

$$\Gamma(x, \alpha\dot{x}) = (x, \alpha\dot{x}, \alpha^k y), \quad \forall \alpha > 0.$$

Necessary cond.: \exists an action $H : \mathbb{R}_+^* \times \overset{\circ}{Y} \rightarrow \overset{\circ}{Y}$ by fibered automorphisms:

$$H(\alpha, \cdot) = H_\alpha \in \text{Aut}(\overset{\circ}{Y}), \quad H_\alpha(x, \dot{x}, y) = (x, \alpha\dot{x}, \alpha^k y), \quad (30)$$

Then: k -homogeneity = equivariance:

$$\begin{array}{ccc} \overset{\circ}{Y} & \xrightarrow{H_\alpha} & \overset{\circ}{Y} \\ \overset{\circ}{\gamma} \uparrow & & \uparrow \overset{\circ}{\gamma} \\ T\overset{\circ}{M} & \xrightarrow{\chi_\alpha} & T\overset{\circ}{M} \end{array} \quad H_\alpha \circ \overset{\circ}{\gamma} = \overset{\circ}{\gamma} \circ \chi_\alpha.$$

★ Idea: "factor away" the action of \mathbb{R}_+^* from both $\overset{\circ}{Y}$ and $T\overset{\circ}{M}$.

Theorem 58 (The orbit space Y): Consider a fiber bundle $(\overset{\circ}{Y}, \overset{\circ}{\Pi}, T\overset{\circ}{M}, Z)$, equipped with action $H : \mathbb{R}_+^* \times \overset{\circ}{Y} \rightarrow \overset{\circ}{Y}$ as in (30). Then:

1. The orbit space $Y = \overset{\circ}{Y} / \sim$ of the action is a fiber bundle over PTM^+ , with typical fiber Z and projection:

$$\Pi : Y \rightarrow PTM^+, \quad \Pi [x, \dot{x}, y] = [x, \dot{x}].$$

2. k -homogeneous sections $\overset{\circ}{\gamma} : \mathcal{Q} \rightarrow \overset{\circ}{Y}$, where $\mathcal{Q} \subset T\overset{\circ}{M}$ is a conic subbundle, are in a one-to-one correspondence with local sections $\gamma : \pi^+(\mathcal{Q}) \rightarrow Y$.

$$\begin{array}{ccc} V \times \mathbb{R}_+^* & \longrightarrow & (U^+ \times \mathbb{R}_+^*) \times Z \\ \overset{\circ}{\Pi} \downarrow & \swarrow \text{proj}_1 & \\ U^+ \times \mathbb{R}_+^* & & \end{array} \qquad \begin{array}{ccc} V & \longrightarrow & U^+ \times Z \\ \Pi \downarrow & \swarrow \text{proj}_1 & \\ U^+ & & \end{array}$$

Figure: local trivializations on $\overset{\circ}{Y}$ and Y .

Fibered homogeneous coordinates on Y (unique up to positive rescaling):

$$[x, \dot{x}, y] \mapsto (x^i, \dot{x}^i, y^\sigma) \quad (31)$$

:= local coords of an arbitrarily chosen representative of the class $[x, \dot{x}, y]$.

Examples:

1. **Finsler (2-homogeneous) functions** $L : \mathcal{A} \rightarrow \mathbb{R} \Rightarrow$

$$\overset{\circ}{Y} = T\overset{\circ}{M} \times \mathbb{R}, \quad H_\alpha(x, \dot{x}, \hat{L}) = (x, \alpha\dot{x}, \alpha^2\hat{L}), \quad \forall \alpha > 0. \quad (32)$$

Sections of Y : $\gamma[(x, \dot{x})] = [x, \dot{x}, L(x, \dot{x})]$, that is:

$$L = \hat{L} \circ \gamma \circ \pi^+.$$

2. **0-homogeneous metric d-tensors** $g : \mathcal{A} \rightarrow T_2^0(T\overset{\circ}{M}) \Rightarrow$

$$\overset{\circ}{Y} = T_2^0(T\overset{\circ}{M}), \quad H_\alpha(x, \dot{x}, y) = (x, \alpha\dot{x}, y), \quad \forall \alpha > 0.$$

Other examples: *d-tensors, connections.*

Finsler field Lagrangians, action, extremals:

Finslerian field: = a (local) section $\gamma \in \Gamma(Y)$.

Field Lagrangian of order r : = a Π^r -horizontal 7-form $\lambda \in \Omega_7(J^r Y)$:

$$\lambda^+ = \Lambda d\Sigma^+, \quad (33)$$

where: $d\Sigma^+ =$ (any) invariant volume form on PTM^+ .

Property (0-homogeneity): $\dot{x}^i \dot{d}_i \Lambda = 0$.

Action attached to λ^+ and to a *piece* $D^+ \subset PTM^+$:

$$S_{D^+} : \Gamma(Y) \rightarrow \mathbb{R}, \quad S_{D^+}(\gamma) = \int_{D^+} J^r \gamma^* \lambda^+$$

\Rightarrow tools in Chapter 1 can be consistently applied.

3.2. The energy-momentum distribution tensor

Setting: Use L as the *background variable* (section of: $Y_g = (T\overset{\circ}{M} \times \mathbb{R})/\sim$)

◦ Configuration bundle (Y, Π, PTM^+) :

$$Y := Y_g \times_{PTM^+} Y_m$$

where: Y_m - fiber bundle over PTM^+ , Y_m - *natural* over M .

◦ *Canonical lifts* Ξ of $\xi_0 \in \mathcal{X}(M)$ = double lifts:

$$\xi_0 \in \mathcal{X}(M) \mapsto \xi \in \mathcal{X}(PTM^+) \mapsto \Xi \in \mathcal{X}(Y). \quad (34)$$

◦ *Natural matter Lagrangians:*

$$\mathfrak{L}_{J^r \Xi} \lambda_m^+ = 0,$$

for all Ξ as in (34).

Theorem 61 (Existence of energy-momentum distribution tensor Θ): Let $\lambda_m^+ \in \Omega_7(J^r Y)$ be a natural Finsler Lagrangian and $\mathcal{E}_g(\lambda_m^+) \in \Omega_8(J^{s+1}Y)$ ($s + 1 \leq 2r$), the Y_g -component of its Euler-Lagrange form. Then, there exist unique $\mathcal{F}(M)$ -linear mappings $\Theta : \mathcal{X}(M) \rightarrow \Omega(J^{s+1}Y)$, $\mathcal{B} : \mathcal{X}(M) \rightarrow \Omega(J^{s+2}Y)$, with horizontal values, such that:

$$h\mathbf{i}_{J^{s+1}} \mathcal{E}_g(\lambda_m^+) = \mathcal{B}(\xi_0) + hd\Theta(\xi_0), \quad \forall \xi_0 \in \mathcal{X}(M). \quad (35)$$

Energy-momentum scalar \mathfrak{T} :

$$\mathcal{E}_g(\lambda_m^+) =: -\frac{1}{2} \mathfrak{T} \hat{L}^{-1} \theta \wedge d\Sigma^+, \quad \Theta^j_i := \mathfrak{T} \hat{L}^{-1} \dot{x}^j \dot{x}_i, \quad (36)$$

where: $\theta := d\hat{L} - \hat{L}_{,i} dx^i - \hat{L}_{,i} d\dot{x}^i$, $\dot{x}_i := \frac{1}{2} \hat{L}_{,ij} \dot{x}^j$.

Energy-momentum distribution tensor:

$$\Theta(\xi_0) = (\Theta^j_i \xi^i) \mathbf{i}_{\delta_j} d\Sigma^+ = \mathfrak{T} \omega^+ \otimes \mathbf{i}_{\ell^+} d\Sigma^+.$$

Balance function: $\mathcal{B}(\xi_0) = -\Theta^j_{i|j} \xi^i d\Sigma^+.$

Theorem 65: For any local section $\gamma = (L, \gamma_m) \in \Gamma(Y_g \times_{PTM^+} Y_m)$ such that

$$\text{supp}(J^r \gamma^* \lambda_m^+) \subset \mathcal{T}^+. \quad (37)$$

and γ_m - *critical for the action*, there hold:

1. **Averaged energy-momentum conservation law:** At any $x \in M$ and in any corresponding fibered chart:

$$\int_{\mathcal{I}_x^+} (\Theta^j_{i|j} \circ J^{s+1} \gamma) d\Sigma_x^+ \approx_{\gamma(m)} \mathbf{0}, \quad (38)$$

where $d\Sigma^+ =: d^4x \wedge d\Sigma_x^+$.

2. **Relation to Noether currents:** For any $\xi_0 \in \mathcal{X}(M)$:

$$\int_{\partial\mathcal{T}^+(D_0)} J^{s+1} \gamma^* \Theta(\xi_0) \approx_{\gamma(m)} \int_{\partial\mathcal{T}^+(D_0)} J^{s+1} \gamma^* \mathcal{J}^\Xi, \quad (39)$$

where Ξ denotes the canonical lift of ξ_0 to Y .

Energy-momentum tensor density on M :

If $\text{supp}(J^r \gamma^* \lambda_m^+) \subset \mathcal{T}^+$ (e.g., γ has compact support $\text{supp}(\gamma) \subset \mathcal{T}^+$), then:

$$\mathcal{T}_j^i(x) := \int_{\mathcal{O}_x^+} (\Theta_j^i \circ J^{s+1} \gamma)|_{(x, \dot{x})} d\Sigma_x^+, \quad \forall x \in M. \quad (40)$$

\Rightarrow *integral is finite, \mathcal{T}_j^i - comps. of a tensor density on M .*

3.3. Concrete model: Finsler gravity sourced by a kinetic gas

Refs.:

- [1] M. Hohmann, C. Pfeifer, N. Voicu, *Finsler gravity action from variational completion*, Physical Review D 100, 064035 (2019).
- [2] M. Hohmann, C. Pfeifer, N. Voicu, *Kinetic gases as direct gravity sources*, Physical Review D 101, 024062 (2020).
- [3] M. Hohmann, C. Pfeifer, N. Voicu, *The kinetic gas universe*, European Physical Journal C 80, 809 (2020).

Main results:

1. Construct a concrete, correctly defined **vacuum action**, starting from a *physical principle+canonical variational completion*.
2. Construct a **matter action** (kinetic gas) \Rightarrow field eq.&energy-momentum distribution.

Advantage: description of the gravitational field, fully taking the *velocity distribution of sources* into account.

1. Construction of vacuum action:

Geodesics of a Finsler spacetime $\nabla_{\dot{c}}\dot{c} = 0$

$(\nabla : \mathcal{X}(M) \times \mathcal{X}(M) \rightarrow \mathcal{X}(M)$ - given by the canonical nonlinear connection)

Geodesic deviation equation: $\nabla_{\dot{c}}\nabla_{\dot{c}}\xi = \mathcal{R}(\dot{c}, \xi);$

Finslerian Ricci scalar: $R := \text{trace}(\mathcal{R}) = R^i_{ik}\dot{x}^k$

Postulated vacuum field equation (Rutz 1993):

$$R = 0. \tag{41}$$

Rutz eqn. is **not** variational \rightarrow build the "closest" variational eq.

Canonical variational completion of Rutz's equation, [1]:

- Dynamical variable: $L \mapsto \Gamma(Y_g)$, $Y_g := (T\overset{\circ}{M} \times \mathbb{R})/_\sim$
 - Canonical volume form on $\mathcal{A}_0^+ \subset PTM^+$: $d\Sigma^+ = \omega^+ \wedge d\omega^+ \wedge d\omega^+ \wedge d\omega^+$
 - Source form: $\varepsilon = (RL^{-1})\theta \wedge d\Sigma^+ \in \Omega_8(J^4Y_g)$
- \Rightarrow Vainberg-Tonti Lagrangian:

$$\lambda_g^+ = \hat{L}^{-1} R d\Sigma^+.$$

Variational completion of Rutz's equation

(= same eq. as Pfeifer&Wohlfarth 2011):

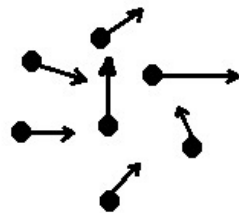
$$\frac{1}{2}g^{ij}R_{.i.j} - 3(L^{-1}R) - g^{ij}(P_{i|j} - P_iP_j + (\nabla P_i).j) = 0, \quad (42)$$

where $P = P_i dx^i$ - trace of Landsberg tensor.

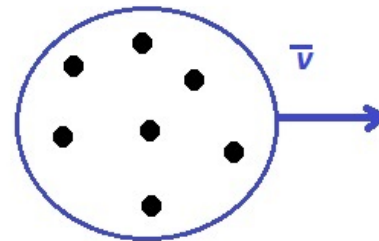
2. Kinetic gases in general relativity (see Sarbach-Zannias 2014):

Kinetic gas = a large number N of interacting point particles, described by a smooth **1-particle distribution function**:

$$\varphi = \varphi(x, \dot{x}).$$



kinetic gas
(individual velocities)



fluid
(averaged velocity)

Worldlines = piecewise smooth normalized geodesics $\gamma \rightarrow (\gamma(s), \dot{\gamma}(s)) \in \mathcal{O}$

✓ \mathcal{O} - **observer space of a Lorentzian metric**

$$\mathcal{O} := \{(x, \dot{x}) \in TM \mid g_x(\dot{x}, \dot{x}) = 1, \dot{x} \text{- future pointing}\}$$

✓ **Assumption:** $\varphi(x, \cdot) : \mathcal{O}_x \rightarrow \mathbb{R}$ has *compact support*, $\forall x \in M$.

✓ **Number N_σ of particle trajectories $(\gamma, \dot{\gamma})$ crossing a hypersurface $\sigma \subset \mathcal{O}$:**

$$N_\sigma = \int_\sigma \varphi d\Omega. \quad (43)$$

✓ Gravitational field - from **Einstein-Vlasov equations**:

$$R^{\mu\nu} - \frac{1}{2}Rg^{\mu\nu} = \frac{8\pi G}{c^4}T^{\mu\nu}, \quad T^{\mu\nu}(x) := \int_{\mathcal{O}_x} m\varphi \dot{x}^\mu \dot{x}^\nu d\Sigma.$$

✓ For **collisionless gases** \Rightarrow **Liouville equation**:

$$\ell(\varphi) = 0.$$

Our approach, [2]:

★ **Idea: Couple φ directly to gravity (no \dot{x} -averaging!)**

→ **this is possible in Finsler geometry.**

○ Rewrite $\varphi : \mathcal{O} \rightarrow \mathbb{R}$ as a function on J^4Y_g :

$$\varphi^+ : J^4Y_g \rightarrow \mathbb{R}, \quad \varphi^+(J^4_{[(x,\dot{x})]}\gamma) := \varphi(x, \dot{x}).$$

○ Construct **matter action** on J^4Y_g as:

$$S_{m,D} := -mN\tau = -m \int_D \varphi d\Sigma = -m \int_{\pi^+(D)} (\varphi^+ \circ J^4\gamma) d\Sigma^+,$$

with: $D \subset \mathcal{O}$ (piece).

○ **Matter Lagrangian:** $\lambda_m^+ := -m\varphi^+ d\Sigma^+$ - generally covariant.

Total Lagrangian: $\lambda^+ = \frac{1}{2\kappa^2}\lambda_g^+ + \lambda_m^+$.

Theorem 68: The Euler-Lagrange equation attached to λ^+ is:

$$\frac{1}{2}g^{ij}(LR_0)_{.i.j} - 3R_0 - g^{ij}(P_{i|j} - P_i P_j + (\nabla P_i)_{.j}) = \kappa^2 m \varphi. \quad (44)$$

Energy-momentum distribution tensor comps.:

$$\Theta^i_j = m\varphi^+ \hat{L}^{-1} \dot{x}^i \dot{x}_j. \quad (45)$$

Energy-momentum density on M - components (supp($\varphi(x, \cdot)$) - compact!):

$$\mathcal{T}^i_j(x) := m \int_{\mathcal{O}_x^+} (\varphi^+ l^i l_j) \circ J^6 \gamma \, d\Sigma_x^+ = m \int_{\mathcal{O}_x} \varphi l^i l_j \, d\Sigma_x \quad (46)$$

- formally similar to pseudo-Riemannian (GR) approach.

Averaged e.-m. conservation law (38) becomes:

$$\int_{\mathcal{O}_x} \ell(\varphi) l_j d\Sigma_x = 0. \quad (47)$$

Particular cases:

1. Collisionless gases:

- *Pointwise covariant conservation law of $\Theta =$ Liouville equation:*

$$\ell(\varphi) = 0.$$

2. Lorentzian spaces (M, a) :

- Averaged energy-momentum conservation law (38) \Leftrightarrow

$$T^i_{j;i} = 0.$$

3.4. Cosmologically symmetric Finsler spacetimes

Reference:

[1]: M. Hohmann, C. Pfeifer, N. Voicu, *Cosmological Finsler spacetimes*, Universe 6 (5), 65 (2020).

Main results:

1. Use the Copernic principle to identify the Lie algebra of **generators of cosmological symmetry** (& **general form of Finsler functions with cosmological symmetry.**)
2. For cosmologically symmetric **Berwald spacetime functions** → **complete classification.**

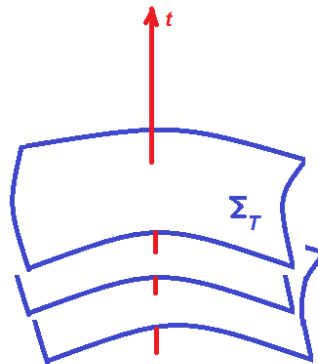
Cosmological (Copernic) principle:

At largest scales, the Universe is: **homogeneous** ("same at all points"): and **isotropic** ("same in each direction"):

Consider: (M, L) - Finsler spacetime.

Global time function = a smooth $t : M \rightarrow \mathbb{R}$ such that

- ◇ $dt(X) > 0$, $\forall X \in \bar{\mathcal{T}}$ and
- ◇ the **spatial slices** $\Sigma_T := \{p \in M | t(p) = T = \text{constant}\}$ are connected.



Definition, [1]: (M, L) - **cosmological Finsler spacetime** if:

1. It admits a global time function $t : M \rightarrow \mathbb{R}$ and

2. All spatial slices Σ_T obey:

(i) Σ_T - **homogeneous**: \exists a Lie group G of *isometries* of (M, L) acting transitively on each slice Σ_T :

$$\forall T \in \mathbb{R}, \forall q_1, q_2 \in \Sigma_T \exists \varphi \in G : \varphi(q_1) = \varphi(q_2)$$

(ii) Σ_T - **isotropic**: at all $p \in \Sigma_T$: the *isotropy group* at p :

$$G_p := \{\psi \in G | \psi(p) = p\}$$

acts transitively on the projective space $PT_p \Sigma_T$:

$$\forall [v_1], [v_2] \in PT_p \Sigma_T : \exists \varphi_p \in G_p : d\varphi_p([v_1]) = [v_2].$$

Theorem 72, [1]: For a cosmologically symmetric Finsler spacetime (M, L) :

$$\dim G = 6, \quad \dim G_p = 3.$$

Proposition 73, [1]: The identity component of G_p is isomorphic to $SO(3)$.

Remark (*Kobayashi&Nomizu, 1963*) :

Σ_T - homogeneous, $G_p \simeq SO(3) \stackrel{!}{\Rightarrow} \Sigma_T$ admits a G -invariant *Riemannian* metric h .

Consequences:

1. h - maximally symmetric $\Rightarrow h$ has constant sectional curvature κ ;
2. L and h have the **same Killing vector fields** $X_{(k)}$, $k = 1, \dots, 6$.
3. One can use *spherical coords*. (t, r, φ, θ) given by h .

Theorem (\equiv Hohmann&Pfeifer 2016): If (M, L) - cosmologically symmetric Finsler spacetime, then:

$$L = L(t, \dot{t}, w), \quad w^2 = \frac{\dot{r}^2}{1 - \kappa r^2} + r^2 \left(\dot{\theta}^2 + \sin^2 \theta \dot{\varphi}^2 \right).$$

Theorem 75, [1] (Classification of cosmologically symmetric Berwald spacetime functions): If (M, L) - cosmological Berwald spacetime, then L falls into one of the following classes:

- a) *pseudo-Riemannian spaces*: $L(x, \dot{x}) = a_{ij}(x) \dot{x}^i \dot{x}^j$:
- b) *nontrivially Finslerian*: of the form:

$$L(t, \dot{t}, w) = \dot{t}^2 B^2(t) \Phi \left(\frac{w}{\dot{t} B(t)} \right).$$

where B, Φ - arbitrary real functions and $\kappa \in \{0, \pm 1\}$.

4 Outlook and perspectives

I. A geometric toolkit for the calculus of variations:

1. *Geometric formulation of higher order Hamiltonian field theory* (Hamilton-de Donder equations), based on canonical Lepage equivalents.

2. *Energy-momentum tensors:*

- Extend (if possible) the definition of energy-momentum tensors in Ch.1 to the case when the differential index of $Y^{(b)}$ is greater than 1 (e.g., in purely affine theories).

- Obtaining a general construction of a conserved gravitational *energy-momentum pseudotensor*, in general field theories.

3. *Extending the Vainberg-Tonti Lagrangian construction* - e.g., using other groups of fiber automorphisms.

II. Finsler spacetimes:

Classes of Finsler spacetimes which are relevant for solving the Finsler gravity field equation (44):

- Spacetimes with (α, β) -metric.
- Spacetimes with \dot{x} - compactly supported Ricci scalar $R(x, \cdot)$; in particular, Ricci-flat ones $R = 0$.
- Compactly supported deviations from Lorentzian metrics a .
- Weakly Landsberg spacetimes. Weak unicorns.
- Berwald spacetime functions with special properties (e.g., spherical symmetry, $T\overset{\circ}{M}$ -smoothness etc.).

III. Finslerian field theory:

1. *Solutions of the Finslerian field equation:*

- Vacuum spatially spherically symmetric solutions.
- Cosmologically symmetric solutions of the (non-vacuum) field equation (44).
- Linearized Finslerian perturbations of Lorentzian metrics.

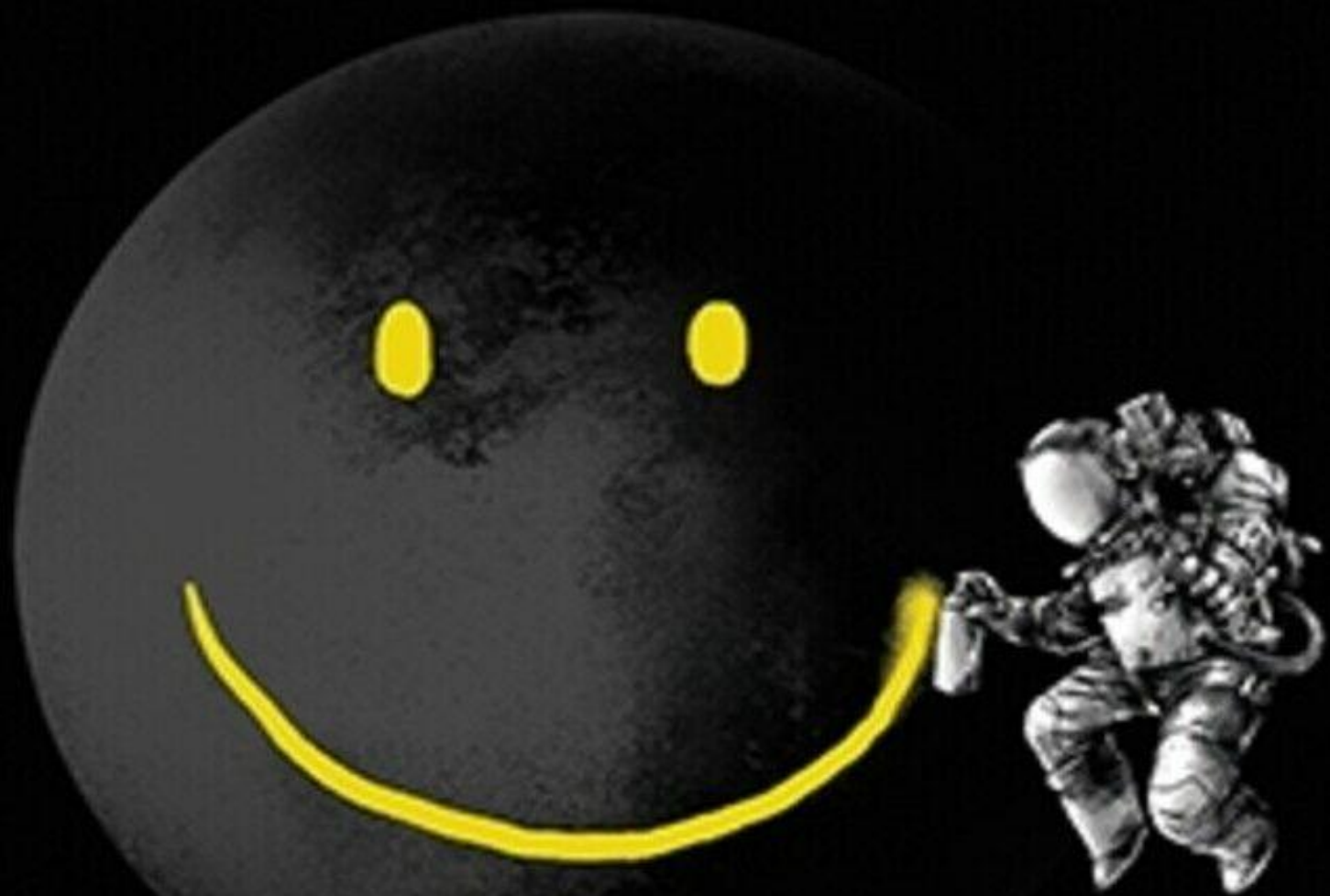
2. *Comparison of Finslerian equation with the Einstein-Vlasov equations.*

Focus on: cosmologically symmetric case $\stackrel{?}{\Rightarrow}$ *dark energy*.

3. Build models for: *electromagnetic field, ultrarelativistic gas.*

4. *Finsler geometry as the geometry of modified dispersion relations:*

Cotangent bundle formulation of Finsler field theory framework, geometry of curved momentum spaces.



Thank you!